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# FEE TRANSMITTAL for FY 2002

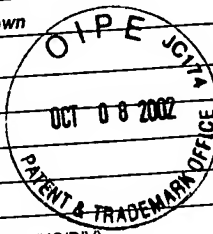
Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 2830

Complete if Known

Application Number 10/068,297  
Filing Date February 8, 2002  
First Named Inventor Len F. LEE et al.  
Examiner Name TBA  
Group / Art Unit 1626  
Attorney Docket No. 161765.00467 (3091/04/US/DIV)



METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

19-0733

Deposit  
Account  
Name

Banner & Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80

SUBTOTAL (1)

(\$ 740)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
0	0	0	0
0	0	0	0
0	0	0	0

### Large Entity

Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)
103	18	203	9	
102	84	202	42	
104	280	204	140	
109	84	209	42	
110	18	210	9	

### Fee Description

Claims in excess of 20  
Independent claims in excess of 3  
Multiple dependent claim, if not paid  
\*\* Reissue independent claims over original patent  
\*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
149	740	249	370
179	740	279	370
169	900	169	900

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 2090)

### SUBMITTED BY

Name (Print/Type)

Ajay Pathak

Registration No. Attorney/Agent)

38,266

Telephone

202-508-9187

Signature

Ajay Pathak

Date

October 8, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.  
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Len F. LEE et al.**

Serial No.: 10/068,297

Filed: February 8, 2002

For: NOVEL BENZOTHIEPINES HAVING ACTIVITY AS INHIBITORS OF  
ILEAL BILE ACID TRANSPORT AND TAUROCHOLATE UPTAKE

Atty. Docket No.: 161765.00467

Group Art Unit: 1626

Examiner: TBA

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner of Patents  
Washington, D.C. 20231  
**Attn: Box Missing Parts**

Dear Sir:


In response to the Notice to File Missing Parts of Application, mailed March 13, 2002, Applicants hereby authorize the Commissioner to charge the \$740 filing fee, \$130 late filing fee surcharge, and \$1,960 fee for a five-month extension of time totaling \$2,830 to our Deposit Account No. 19-0733.

No additional fees are believed to be due. However, if any additional fees are required or an overpayment of fees made, please debit or credit our Deposit Account No. 19-0733, as necessary.

Respectfully submitted,

Date: October 8, 2002

By:

  
Ajay Pathak  
Registration No. 38,266

BANNER & WITCOFF, LTD.  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001  
(202) 508-9187 (direct office line)  
(202) 508-9299 (office fax)

Attachments:

Copy of Notice to File Missing Parts of Application  
Fee Transmittal

10/10/2002 BABRAHA1 00000039 190733 10068297

03 FC:126 1960.00 CH



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/068,297	02/08/2002	Len F. Lee	061765.00467 (3091/04/US)

CONFIRMATION NO. 8988

## FORMALITIES LETTER



\*OC000000007627841\*

 22907  
 BANNER & WITCOFF  
 1001 G STREET N W  
 SUITE 1100  
 WASHINGTON, DC 20004


Date Mailed: 03/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202  
 PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/10/2002 BABRAHA1 00000039 190733 10068297

 01 FC:101 740.00 CH  
 02 FC:105 130.00 CH